


MEMORANDUM

TO: VSCS Board of Trustees

FROM: Sophie Zdatny, Chancellor 

DATE: July 30, 2021

SUBJECT: Board of Trustees Annual Meeting on August 4, 2021

Trustees:

The materials are now available for the upcoming annual Board meeting scheduled for **1:00 p.m., on Wednesday, August 4th, 2021**. The meeting will take place via Zoom and will be livestreamed on YouTube. We will also be making a physical space available for any members of the public who wish to come to the Chancellor's Office to view the meeting now that the Governor's emergency executive order has been lifted.

The meeting will start with a resolution honoring **President Elaine Collins** as this will be her last Board meeting before she steps down on August 18, 2021. The Northern Vermont University community has been invited to join the Board meeting to offer a round of applause and to speak briefly.

Following approval of the June 16, 2021 meeting minutes, **Steve Leo** and **Matt Bunting** of **Storbeck Search** will provide a presentation on the upcoming search for a President of the new university. The presentation will provide an overview of the search process, opportunities for stakeholder input, and the timeline. Steve and Matt also plan to meet with the trustees for a session on the Presidential search at the upcoming September retreat as the responsibility for hiring the President belongs to the Board, following consultation with the Chancellor, faculty, staff, students, and other representatives of the institution.

Wilson Garland (Director of Transformation Projects) and the Chancellor will provide an update on the **transformation** work currently underway and in the immediate future. Wilson will discuss the draft accountability matrix with the trustees and will seek your input on the proposed draft, with the goal of providing a final version at the September retreat.

Nolan Atkins (Provost of Northern Vermont University and the new university) has been working on a **visioning concept** for the new university, in preparation for the crafting of a formal

Mission and Vision, which is required for its accreditation. We are seeking the Board's input on the Guiding Principles for this work.

Sharron Scott (CFO/COO) will be seeking the Board's approval for the VSCS to receive the third round of Higher Education Emergency Relief Funds (“**HEERF III**”). Receipt of these funds is required by Board policy and the colleges would like to draw down these federal funds as soon as possible, rather than wait for this request to come through the Finance and Facilities Committee before coming to the Board.

General Counsel Patty Turley and Assistant Counsel Kathryn Santiago will then provide the **annual training** for the trustees on Policy 311- *Non-discrimination and Prevention of Harassment and Related Unprofessional Conduct*, Policy 311-A – *Sexual Harassment, Sexual Exploitation, Domestic Violence, Dating Violence, Sexual Assault, and Stalking*, and Policy 316 – *Protection of Minors and Mandatory Reporting of Child Abuse and Neglect*. Copies of each of these policies and their procedures are available at <https://www.vsc.edu/board-of-trustees/policies-procedures/student-affairs-policies/>.

Following Additional Business and Comments from the Public, the Board will go into an executive session to discuss collective bargaining and receive litigation updates. Any votes will be taken in public session, following the executive session.

Cc: Council of Presidents
Academic Deans
Business Affairs Council
Student Affairs Council

Vermont State Colleges Board of Trustees Meeting
Zoom Meeting/YouTube Stream
Conference Room 101, 575 Stone Cutters Way
Montpelier, VT
Wednesday, August 4, 2021 at 1:00 p.m.

AGENDA

1. Call to order
2. Resolution Honoring Elaine Collins
3. Approval of June 16, 2021 Meeting Minutes
4. Presentation by Steve Leo/Storbeck Search on search for President of new university
5. Transformation Update
 - a. Chancellor's Update
 - b. Discussion of draft accountability matrix
 - c. Discussion and adoption of guiding principles for developing vision and mission for the new university
6. Approval of federal HEERF III Grant Funding
7. Board Trainings
 - a. Policy 311, *Non-discrimination and Prevention of Harassment and Related Unprofessional Conduct*
 - b. Policy 311-A, *Sexual Harassment, Sexual Exploitation, Domestic Violence, Dating Violence, Sexual Assault, and Stalking*
 - c. Policy 316, *Protection of Minors and Mandatory Reporting of Child Abuse and Neglect*
8. Additional Business
9. Comments from the public – sign up here: www.vsc.edu/signup
10. Executive Session
11. Adjourn

MEETING MATERIALS

- Item 1: Resolution 2021-019 Honoring the Service of Elaine C. Collins
- Item 2: June 16, 2021 Meeting Minutes
- Item 3: Transformation Update
- Item 4: Accountability Matrix
- Item 5: HEERF III Grant Materials
 - a. CCV Student and Institution
 - b. CU Student and Institution
 - c. NVU Student and Institution
 - d. VTC Student and Institution

ITEM 1:
Resolution 2021-019

VERMONT STATE COLLEGES

BOARD OF TRUSTEES

RESOLUTION 2021-019

Honoring the Exceptional Service of Dr. Elaine C. Collins

- WHEREAS, for 6 years Elaine Collins has led transformational change at Johnson State College, Lyndon State College, and Northern Vermont University (NVU), bringing her strong values to work every day; and
- WHEREAS, Elaine Collins has successfully led the unification of Johnson State College and Lyndon State College, which has become a national model resulting in routine consultation on other active and proposed mergers; and
- WHEREAS, Elaine Collins has provided tireless leadership to ensure access to higher education in our rural communities; and
- WHEREAS, Elaine Collins has served as a NECHE Commissioner commencing in the fall of 2019, which provided her with an experience and perspective that has served NVU and the VSC well; and
- WHEREAS, Elaine Collins has overseen the implementation of the first NVU strategic plan with 85% of the planning goals complete or in progress; and
- WHEREAS, Elaine Collins has during her tenure stewarded donors resulting in a \$3.5 million private gift, the largest gift received in the System, and two USDA grants to support teleconferencing equipment linking NVU programming to 60 sites across Vermont; and
- WHEREAS, Elaine Collins values the transformative student experience and has during her tenure received a \$1.8 million Title III Strengthening Institution grant focusing on student success; founded the inaugural Women's Triathlon Team at Johnson; provided leadership in the NAC and served as the NAC President; and with a strong commitment to Diversity, Equity, and Inclusion (DEI), she initiated the first DEI task force on the Johnson campus; and
- WHEREAS, Elaine Collins has a strong commitment to advance innovative professional and liberal arts education, founding the Center for Teaching and Learning, successfully secured COPLAC designation for the Johnson campus prior to unification, and established several new degree programs, including the innovative and interdisciplinary Performance, Arts, and Technology program, Data Science, Digital Communications, and a Masters in Leadership, as well as acquiring the Masters in Mental Health Counseling program that is established in

Vermont, New Hampshire, Maine, Wisconsin, and Alaska; and additionally, expanding the NVU Online division; and

WHEREAS, Elaine Collins has provided exceptional community leadership and enhanced the economic viability of NVU by developing the Do North Co-Working Space in Lyndonville and securing the Forestry Accelerator grant; developed a partnership with the Vermont Woodworking School; and developed a partnership with VTC to provide northern tier communities greater access to nursing programming; and

WHEREAS, Elaine Collins has provided exceptional leadership during the COVID-19 pandemic to ensure a safe learning and working campus environment; and therefore be it

RESOLVED, That the Board of Trustees of the Vermont State Colleges System values and celebrates the contributions of Elaine Collins to Northern Vermont University, to the System, and to the State of Vermont and wishes her the very best.

DATED this 4th day of August 2021.

Approved: August 4, 2021

Eileen “Lynn” Dickinson, Chair of the Board of Trustees

ITEM 2:
June 16, 2021 Meeting Minutes

Minutes of the VSCS Board of Trustees Meeting held Wednesday, June 16, 2021, at 1:00 p.m. via ZOOM – UNAPPROVED

Note: These are unapproved minutes, subject to amendment and/or approval at the subsequent meeting.

The Vermont State Colleges Board of Trustees met on Wednesday, June 16, 2021, via ZOOM.

Board members present: Lynn Dickinson (Chair), Janette Bombardier, Megan Cluver, Ryan Cooney, Dylan Giambatista, Shirley Jefferson, Bill Lippert, Karen Luneau, Jim Masland, Mary Moran, Shawn Tester, Sue Zeller

Absent: Adam Grinold, David Silverman

Presidents: Joyce Judy, Pat Moulton, Jonathan Spiro

Chancellor's Office Staff: Shelby Bizub, Intern
Kellie Campbell, Chief Information Officer
Wilson Garland, Director of Transformation Projects
Katherine Levasseur, Director of External & Governmental Affairs
Katrina Meigs, System Director of HR & Benefits Administration
Jen Porrier, Administrative Director
Kathryn Santiago, Assistant General Counsel
Sharron Scott, Chief Financial/Operations Officer
Patty Turley, General Counsel
Meg Walz, Director, Program Manager
Sophie Zdatny, Chancellor
Yasmine Ziesler, Chief Academic Officer

From the Colleges: Nolan Atkins, Provost, Northern Vermont University
Sarah Chambers, Coordinator of Instructional Technology, Castleton University
Laura Jakubowski, Chief Budget & Finance Officer, Castleton University
Tom Mauhs-Pugh, Provost, Castleton University
Sandra Noyes, President, VSC Staff Federation, Northern Vermont University
Maurice Ouimet, Dean of Enrollment, Castleton University
Andy Pallito, Dean of Administration, Community College of Vermont
Toby Stewart, Dean of Administration, Northern Vermont University
Littleton Tyler, Dean of Administration, Vermont Technical College

Beth Walsh, President, VSCUP, Northern Vermont University

From the Public:

Katie Hagan, Senior Analyst, rpk GROUP

Jim Page, Former Chancellor, University of Maine System

Rick Staisloff, Senior Partner, rpk GROUP

1. Chair Dickinson called the meeting to order at 1:01 p.m.

2. Introduction and welcome of new trustees

Chair Dickinson introduced and welcomed new trustees Shirley Jefferson, Associate Dean for Student Affairs and Diversity at Vermont Law School, and Sue Zeller, recently retired from the Office of Administration in Vermont State Government. Chair Dickinson also thanked outgoing Trustee Linda Milne for her service.

3. Approval of Minutes

- a. May 10, 2021 Regular Board Meeting
- b. May 18, 2021 Special Board Meeting

Trustee Moran moved and Trustee Cooney seconded the motion to approve the minutes from the May 10, 2021 Regular Board Meeting. The motion was approved unanimously.

Trustee Cooney moved and Trustee Tester seconded the motion to approve the minutes from the May 18, 2021 Special Board Meeting. The motion was approved unanimously.

4. Report from EPSL Committee

- a. rpk GROUP Presentation and Recommendation/vote on:
 - i. Adoption of Program Evaluation Framework
 - ii. Development of a plan for program optimization
- b. System-wide General Education Recommendations/vote on:
 - i. Commendation of significant work by faculty
 - ii. Creation of a system-wide General Education Committee

Trustee Cluver introduced Rick Staisloff, Senior Partner of rpk GROUP and Katie Hagan, Senior Analyst of rpk GROUP. They presented their findings and [Academic Portfolio Recommendations for the Transformation of the Vermont State Colleges System](#).

Trustee Cluver moved and Trustee Luneau seconded the motion to adopt the Program Evaluation Framework (proposed by rpk GROUP) to guide review of the academic portfolio at Castleton University, Northern Vermont University and Vermont Technical College going forward. The motion was approved unanimously.

Trustee Cluver moved and Trustee Luneau seconded the motion to charge the Chancellor and the Chief Academic Officer to work with faculty to develop a plan for program optimization and to report back to EPSL at its August meeting with an evaluation of that work and decisions on the program investment and elimination recommendations made by rpk GROUP. The motion was approved unanimously.

Chief Academic Officer Yasmine Ziesler gave an overview of the significant work that has gone into developing a shared transparent foundation of the general education requirements that are designed to be an Associate degree core consistent across all VSC institutions. Faculty worked with a facilitator in its development and it has been approved by all academic governance bodies, including CCV and the faculty assemblies at CU, NVU and Vermont Tech. Faculty at each institution, other than Castleton University, approved the aspirational goal of including diversity, equity, and inclusion into learning outcomes for general education courses. Work will continue in the coming year to provide professional development to faculty on diversity, equity, and inclusion.

Trustee Cluver moved and Trustee Moran seconded the motion to commend the significant work accomplished by faculty on the VSC general education working group and all academic governance groups to achieve initial implementation of the single system-wide general education program core. The motion was approved unanimously.

Trustee Cluver moved and Trustee Luneau seconded the motion to direct the VSC Chief Academic Officers Group to convene a system-wide general education committee for the upcoming academic year to develop a plan to integrate VSC Policy 106's graduation standards into the new general education framework and to continue system-wide professional development in Diversity, Equity and Inclusion to support its implementation into the general education program, including how world languages fit into the program, with a follow-up report to be provided to EPSL by May 2022. The motion was approved unanimously.

5. Transformation Update – Project Management

The Director of Transformation Projects Wilson Garland and Chief Information Officer Kellie Campbell gave a presentation on the project management approach that is being adopted by the VSCS to implement the transformation mandated by the state. The presentation can be found [here](#).

6. Report from the Finance & Facilities Committee

- a. Approval of expansion of Glenn and Marga Sproul Endowment
- b. Recommendation/vote on Resolution 2021-015: Banking and Investment
- c. Recommendation/vote on approval of FY2022 System Annual Operating Budget

Chief Financial and Operating Officer Sharron Scott reviewed the three items recommended for approval by the Board from the Finance & Facilities Committee. First, the Glenn and Marga Sproul Endowment is looking to expand the terms of the existing endowment beyond supporting faculty in the Mathematics and Sciences at Northern Vermont University-Johnson to include Mathematics and Science faculty at other campuses in the Vermont State Colleges System. Second, the annual Banking and Investment Resolution is a standard annual authorization granting signature authority to perform banking transactions. Third, the proposed system-wide annual budget for FY2022 includes a projected deficit of \$36 million, before the use of additional funding sources in the form of an increase to base appropriation, bridge funding, and HERRF funding. With the application of these additional funding sources, the net deficit is approximately \$3.6 million, all of which will be covered through the use of prior year carry forward.

Trustee Tester moved and Trustee Jefferson seconded the motion to approve the expansion of the Glenn and Marga Sproul Endowment. The motion was approved unanimously.

Trustee Cooney moved and Trustee Masland seconded the motion to approve Resolution 2021-015: Banking and Investment. The motion was approved unanimously.

Trustee Cooney moved and Trustee Tester seconded the motion to approve Resolution 2021-016: FY2022 Vermont State Colleges System Annual Operating Budget. The motion was approved unanimously.

7. Report from the Executive Committee
 - a. Recommendation/vote on reappointment of Chancellor and Presidents

Chair Dickinson reported that the Board's annual retreat will be held in person at Lake Morey in September. The focus will be on Board Development. Additionally, the Executive Committee is currently reviewing proposals submitted in response to a request for proposals for an executive search firm to assist with the search for a president for the new combined entity. Chancellor Zdatny added that the goal is bring the new president on board by July 1, 2022.

Chair Dickinson shared that the Executive Committee recommended the reappointment of the three presidents and the Chancellor.

Trustee Luneau moved and Trustee Moran seconded the motion to reappoint President Joyce Judy, President Patricia Moulton, Interim President Jonathan Spiro and Chancellor Zdatny for the upcoming 2021-2022 academic year. The motion was approved unanimously.

8. Report from the Nominating Committee
 - a. Recommendation/vote slate of trustees to serve as officers
 - b. Recommendation/vote slate of trustees to serve on the Audit and Risk Management Committee

Trustee Dickinson moved and Trustee Giambatista seconded the motion to re-elect Vice Chair Megan Cluver, Treasurer David Silverman and Secretary Karen Luneau. The motion was approved unanimously.

Trustee Dickinson moved and Trustee Cooney seconded the motion to re-elect David Silverman, Dylan Giambatista, Mary Moran and Shawn Tester to the Audit and Risk Management Committee. The motion was approved unanimously.

With the departure of Linda Milne from the Board of Trustees, an opening on the Audit Committee and Risk Management Committee needs to be filled.

Trustee Dickinson moved and Trustee Luneau seconded the motion to elect Sue Zeller to the Audit and Risk Management Committee. The motion was approved unanimously.

9. Report from Diversity, Equity and Inclusion Committee

Committee Chair Moran provided a report on the June 7th meeting of the DEI Committee. The VSC Social Justice Group provided proposed definitions of "diversity," "equity," and "inclusion." The Committee is interested in receiving public feedback on the proposed

definitions and there will be an opportunity for public comment and feedback at the Committee's next meeting scheduled for September 13th. Additionally, there was an update and discussion on a proposed anti-racism pledge being developed by students, and highlights shared on recent academic initiatives, such as the recent Academic Retreat and inclusion of DEI learning objectives in general education courses.

10. Board's Calendar for 2021-2022

Trustee Dickinson moved and Trustee Moran seconded the motion to approve the Board and Committee calendar for 2021-2022. The motion was approved unanimously.

11. Additional Business

Chancellor Zdatny shared that the positions of Provost and Dean of Enrollment for the new university are essential and need to be filled immediately, prior to hiring the new President. In consultation with the Presidents, it was agreed that we had experienced, well-qualified, and highly respected candidates for both positions within the Vermont State Colleges. Nolan Atkins, Provost of Northern Vermont University, and Maurice Ouimet, Dean of Enrollment at Castleton University, have agreed to step into these roles. Work is underway to explore what resources each needs to give them the additional capacity to take on these new roles while continuing to support the critical work they perform for their current institutions.

12. Comments from the Public

There were no comments from the public.

13. Executive Session

At 3:00 p.m. Trustee Dickinson moved that the VSCS Board of Trustees enter executive session pursuant to 1 V.S.A. § 313(a)(2) to discuss negotiating or securing real estate purchase or lease options; as well as 1 V.S.A. § 313(a)(1)(B) to discuss labor relations agreements with employees, 1 V.S.A. § 313(a)(1)(E) to discuss pending litigation, and 1 V.S.A. § 313(a)(1)(F) for the purpose of receiving confidential attorney client communications made for the purpose of providing professional legal services because premature general public knowledge of these discussions would place VSC at a substantial disadvantage. The motion stated that no formal or binding action would be taken in executive session, except for actions relating to real estate transactions. Along with the members of the Board present at the meeting, the Board invited the Chancellor, the General Counsel and the President and Dean of Administration of Vermont Technical College to attend. Trustee Moran seconded the motion and it was approved unanimously.

The Board exited executive session at 3:56 p.m. and no action was taken.

Chair Dickinson adjourned the meeting at 3:57 p.m.

ITEM 3:
Transformation Update

Transformation Update & Accountability Matrix

Board of Trustees – August 4, 2021

Implementing the New Approach

- Realigning the work around our four cross-functional core processes
- Core process teams are beginning their work
 - Student Experience and Academic Operations teams have been kicked off
 - Teams have drafted their Charters and identified Objectives and Deliverables
 - Convening and engaging sub-teams and specialists in Discovery work
 - Administrative Operations teams are being formed and will kick off shortly
 - Teams provide a bi-weekly Status Dashboard to the Sponsors and Stakeholders
- Adopting a common project management platform to manage tasks, timelines, and dependencies
- Working across teams to add detail to the Project Plan and revise Transformation Timeline

Key Dependencies That Will Inform the Work

Work is underway in four key areas that will ensure strategic alignment for the core process teams as they work to create new processes and systems to support the students of the New Combined Entity (NCE)

- Developing a Mission and Vision for the NCE
- Faculty work to define a unified program array for the NCE
- Developing a Diversity, Equity, and Inclusion framework to be more intentional in the work of the teams
- Creating a Student Advisory Council
- Researching and creating a new Brand for the NCE

Accountability Matrix

For the benefit of Vermont

Goals for Creating the Accountability Matrix

- Align accountability with the strategic priorities from the legislature and Board
 - Affordability, Accessibility, Quality, Relevance, Financial Stability
 - Progress on Transformation Objectives and Projects
- Identifies Key Performance Indicators (KPI's) to be used to measure results
- Documents ultimate accountability at the institution and system level
- Designates a Lead to whom accountability is delegated
- Shows Board Committee alignment and accountability
- Sets the expectations of frequency and timing of reporting and review

Accountability Matrix

	Objectives	Key Performance Indicators (KPIs)	Lead	Board Committee	Timing	Accountability		
						NCE	CCV	System
1	AFFORDABILITY: Reduce total cost of attendance for students and families.	<ol style="list-style-type: none"> Published Tuition and Net Cost of Attendance <ol style="list-style-type: none"> Discount Rate State-Funded Scholarships Net student cost by component (tuition, fees, books/materials, housing, food, etc.) Student Loan Debt at Graduation for Vermont In-State/Out-of-State Published Tuition as % of Vermont Median Family Income 	Deans of Administration	Finance & Facilities	Annual	President	President	Chancellor
2	ACCESSIBILITY: Increased access to VSCS programs regardless of race/ethnicity, age, educational attainment of parents, prior educational experience, family status, or place of residence.	<ol style="list-style-type: none"> Percentage of Programs available Statewide [definition of "Statewide" needed] Headcount Enrollment by Student Type (HS, First-Time College, Transfer, Graduate, etc.) Headcount Enrollment by Student Demographics (Full-Time and Part-Time) 	Deans of Enrollment	Finance & Facilities	Annual	President	President	Chancellor

Next Steps

- Gain agreement from the Board on Matrix approach (now)
- Create a Dashboard format for ongoing visibility (September)
 - Baseline measures, 5-year targets, current progress to-date
- Establish Baseline and Targets for KPIs
 - Focus first on existing measures where data is available
 - Address areas where there are gaps in available data

ITEM 4:
Accountability Matrix

Accountability Matrix

	Objectives	Key Performance Indicators (KPIs)	Lead	Board Committee	Timing	Accountability		
						NCE	CCV	System
1	AFFORDABILITY: Reduce total cost of attendance for students and families.	1. Published Tuition and Net Cost of Attendance <ul style="list-style-type: none"> a. Discount Rate b. State-Funded Scholarships 2. Net student cost by component (tuition, fees, books/materials, housing, food, etc.) 3. Student Loan Debt at Graduation for Vermont In-State/Out-of-State 4. Published Tuition as % of Vermont Median Family Income	Deans of Admin	Finance & Facilities	Annual	President	President	Chancellor
2	ACCESSIBILITY: Increased access to VSCS programs regardless of race/ethnicity, age, educational attainment of parents, prior educational experience, family status, or place of residence.	1. Percentage of Programs available Statewide [definition of "Statewide" needed] 2. Headcount Enrollment by Student Type (HS, First-Time College, Transfer, Graduate, etc.) 3. Headcount Enrollment by Student Demographics (Full-Time and Part-Time)	Deans of Enrollment	EPSL, Finance & Facilities, DEI	Annual	President	President	Chancellor
3	ACCESSIBILITY: Students receiving the academic, advising and other	1. Retention rates (first year and year-over-year) <ul style="list-style-type: none"> a. Percentage adoption of Canvas by faculty 	Provosts	EPSL, DEI	Annual	President	President	Chancellor

	supports necessary to succeed in their VSCS programs as measured by their retention, persistence and graduation.	<ul style="list-style-type: none"> b. Percentage adoption of Aviso by faculty and staff advisors 2. Percentage of Students Engaging With Advisor Each Semester 3. Percentage of students completing on-time (e.g. Bachelor's - 4 years for FT, 2 years for PT) 						
4	QUALITY: The ability of VSCS graduates to meet externally-recognized measures of achievement (i.e. licensure exam success rates) and their preparation to compete in the global workforce.	<ul style="list-style-type: none"> 1. Student Rating of Overall College Experience (internal survey or NSSE) 2. Student Participation in High-Impact Practices (internal survey or NSSE) 3. Percentage of Graduates in Licensure Professions Attaining Licensure 4. Percentage of Graduates Employed in a Related Profession (6 months) 	Provosts	EPSL	Annual	President	President	Chancellor
5	RELEVANCE: Development of degree paths that are relevant to student goals and expectations of value in a career.	<ul style="list-style-type: none"> 1. Degrees Awarded (by discipline mapped to critical employment sectors) 2. Non-Degree Industry Recognized Credentials Awarded (by employment sector) 3. Percentage of programs with imbedded Industry Recognized Credentials (IRCs) 4. Percentage of Degree Programs with Applied 	Provosts	EPSL	Annual	President	President	Chancellor

		<p>Learning Requirement (internship, project-based learning, community-based learning, etc.)</p> <p>5. Number of Employer/Institutional Partnerships [define partnership criteria]</p> <p>6. Non-Degree Course Enrollment (by Type: Continuing Ed, Customized Training, Apprenticeship)</p> <p>7. Graduate Preparedness (employer survey)</p>						
6	FINANCIAL STABILITY: Structural Deficit Reduction	<p>1. Enhanced Revenue</p> <p>a. Enrollment</p> <p>b. Other Revenue</p> <p>2. Expenditures per Student (FTE)</p> <p>a. Courses with enrollments <5, 5 -9, 10-14, 15+</p> <p>b. Faculty Utilization - credit hours taught (rPK analysis)</p> <p>3. Financial Stability Ratio</p> <p>a. Primary Reserve</p> <p>b. Net Op Revenues</p> <p>c. Return on Net Position</p> <p>d. Viability Ratio</p>	Deans of Administration	Finance & Facilities	Annual	President	President	Chancellor
7	TRANSFORMATION PROJECTS: Project Plan Updates	Student Experience	M. Ouimet	All	Quarterly	President	President	Chancellor
		Academic Operations	Y. Ziesler	All	Quarterly	President	President	Chancellor
		Administrative Operations	S. Scott	All	Quarterly	President	President	Chancellor
		Workforce Development	TBD	All	Quarterly	President	President	Chancellor

ITEM 5:

HEERF III Grant Materials

- a) CCV Student and Institution
- b) CU Student and Institution
- c) NVU Student and Institution
- d) VTC Student and Institution

Previously, the Board of Trustees approved grants related to the Higher Education Emergency Relief Funds I and II (HEERF I, HEERF II) as part of their regularly scheduled meetings. These HEERF programs were created under the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA).

The American Rescue Plan (ARP), signed into law on March 11, 2021, authorized the Higher Education Emergency Relief Fund III (HEERF III). These funds are in addition to the funds awarded in the previous two rounds.

Over the last few months, the institutions within the Vermont State Colleges have received Grant Award Notices for the HEERF III awards. These awards are supplement to the two previous awards.

As before the grants are awarded in two parts: an Emergency Aid to Students segment and an Institutional Funding.

Student Funds

Institutions may award student grants for:

- any component of their cost of attendance
- emergency costs that arise due to coronavirus, such as:
 - tuition
 - food
 - housing
 - health care (including mental)
 - child care

Institutional Funds

Institutions may use the funds to:

- defray expenses associated with coronavirus, including:
 - lost revenue, reimbursement for expenses already incurred
 - technology costs associated with a transition to distance education
 - faculty and staff trainings
 - payroll
 - carry out student support activities authorized by the HEA that address needs related to coronavirus
- make additional financial aid grants to students

Department of Education Awards

The USDOE is awarding HEERF III funding on an institution-by-institution basis as noted below. The institutions collectively seek approval to accept and distribute these grants.

<u>Institution</u>	HEERF III Institutional <u>Funding</u>	HEERF III <u>Student Funding</u>
Castleton University	\$2,686,625	\$2,697,016
Vermont Technical College	\$1,624,414	\$1,654,021
Community College of Vermont	\$3,630,405	\$4,122,872
<u>Northern Vermont University</u>	<u>\$2,939,006</u>	<u>\$3,156,190</u>
Total	\$10,880,450	\$11,630,099

Total Funding for all awards, inclusive of HEERF III:

<u>Institution</u>	All Awards Institutional <u>Funding</u>	All Awards <u>Student Funding</u>
Castleton University	\$5,760,965	\$4,453,850
Vermont Technical College	\$3,437,923	\$2,625,427
Community College of Vermont	\$8,197,084	\$5,603,522
<u>Northern Vermont University</u>	<u>\$6,469,187</u>	<u>\$5,088,532</u>
Total	\$23,865,159	\$17,771,331

Uses of the Funds

HEERF III funding was not included in the FY2022 budget. It is hoped that HEERF III Institutional Funds will be used as budgetary relief within FY2022 in order to facilitate carryover for the FY2023 fiscal year.

FORM C: INFORMATION ABOUT THE AWARD

Principal Investigator/Project Initiator (PI) Ryan Dulude

E-mail Address ryan.dulude@ccv.edu

Will anybody besides the PI listed above manage the day-to-day grant activities? Yes

Name of the grant manager (if different from PI) Gisele Hodgdon

Email of grant manager (if different from PI) gisele.hodgdon@ccv.edu

Institution Community College of Vermont

I am: Staff

Title of Proposal HEERF III Student Funds

What type of funding is this? Federal

Name of Primary Funder U.S. Department of Education

Is this funding passed through from another source than the funder above? (Example: a state agency passing through federal funds.) No

CFDA Number 84.425E

Project Start Date 06/09/21

End Date 06/10/22

List how you'd like this grant titled on the general ledger (limit of 25 characters). CCV HEERF 3 Student Funds

BUDGET

Total Project Cost 4122872

Direct Costs 4122872

Indirect Costs 0

Will matching funds be used for this project?

No

Upload copy of budget.

https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103281342/824267474/103281342_gan_p425e203169-20b.4.1_1.pdf

Upload copy of signed grant agreement.

https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103283630/824267474/103283630_gan_p425e203169-20b.4.1_1.pdf

Approvals

Approval Status

Approved

Approved By

Joyce Judy

Approval Date

June 16, 2021 7:43 AM

Comments

[Jun 16, 2021 7:43 AM] Joyce Judy APPROVED

[Jun 16, 2021 7:43 AM] Joyce Judy: These funds will be awarded to students to help them weather the negative impact that COVID has had on their financial situation.



**US Department of Education
Washington, D.C. 20202**

P425E203169 - 20B

GRANT AWARD NOTIFICATION

1	RECIPIENT NAME Vermont State Colleges 660 Elm St Montpelier, VT 05601		2	AWARD INFORMATION PR/AWARD NUMBER P425E203169 - 20B ACTION NUMBER 4 ACTION TYPE Revision AWARD TYPE Formula																					
3	PROJECT STAFF RECIPIENT STATE DIRECTOR Ryan Dulude (802) 654-0515 ryan.dulude@ccv.edu EDUCATION PROGRAM CONTACT Rebecca Ell (202) 453-6348 rebecca.ell@ed.gov EDUCATION PAYMENT HOTLINE G5 PAYEE HELPDESK 888-336-8930 edcaps.user@ed.gov		4	PROJECT DESCRIPTION 84.425E CCV Application for the student aid portion of the Emergency Stabilization Funds in the CARES Act.																					
5	KEY PERSONNEL N/A																								
6	AWARD PERIODS BUDGET PERIOD 04/28/2020 - 03/21/2022 FEDERAL FUNDING PERIOD 04/28/2020 - 03/21/2022 FUTURE BUDGET PERIODS N/A																								
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**US Department of Education
Washington, D.C. 20202**

P425E203169 - 20B

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425E203169 - 20B
RECIPIENT NAME: Vermont State Colleges

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) NEW ARP FUNDS: The grant funds awarded herein pursuant to section 2003 of the American Rescue Plan Act of 2021 (ARP) (Pub. L. 117-2) are governed by section 2003 of the ARP and section 314 of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) and the terms and conditions of the Supplemental Agreement attached to this GAN.

BY DRAWING DOWN THESE GRANT FUNDS, YOU AGREE TO BE BOUND BY THESE CONDITIONS SET FORTH ON THE BEHALF OF THE INSTITUTION YOU REPRESENT AND YOU WARRANT THAT YOU HAVE THE AUTHORITY TO BIND THE INSTITUTION TO THESE CONDITIONS.

ADMINISTRATIVE COSTS: Institutions are NOT allowed to charge any administrative costs to any funds under this grant because the allocation in this grant award represents the minimum amount of funds that must be distributed to students.

INDIRECT COSTS: Institutions are NOT allowed to charge any indirect costs to any funds under this grant because the allocation in this grant award represents the minimum amount of funds that must be distributed to students.

15-DAY DRAWDOWN AND CASH MANAGEMENT REQUIREMENTS: Consistent with 2 CFR 200.305, grantee must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Grantees that draw down funds and do not pay the incurred obligation (liquidate) within 15 calendar days may be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's Office of the Inspector General (OIG). Grantees that return funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's OIG. Grantee must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500 (all Federal grants together) during an institution's fiscal year must be returned (remitted) to the Federal government via a process described here: <https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.

Michelle A Cooper
Acting Assistant Secretary

AUTHORIZING OFFICIAL

06/11/2021

DATE

FORM C: INFORMATION ABOUT THE AWARD

Principal Investigator/Project Initiator (PI) Ryan Dulude

E-mail Address ryan.dulude@ccv.edu

Will anybody besides the PI listed above manage the day-to-day grant activities? Yes

Name of the grant manager (if different from PI) Gisele Hodgdon

Email of grant manager (if different from PI) gisele.hodgdon@ccv.edu

Institution Community College of Vermont

I am: Staff

Title of Proposal HEERF III Institutional Funds

What type of funding is this? Federal

Name of Primary Funder U.S. Department of Education

Is this funding passed through from another source than the funder above? (Example: a state agency passing through federal funds.) No

CFDA Number 84.425F

Project Start Date 06/11/21

End Date 06/10/22

List how you'd like this grant titled on the general ledger (limit of 25 characters). CCV HEERF 3 Inst Funds

BUDGET

Total Project Cost 3630405

Direct Costs 3630405

Indirect Costs 0

August 4, 2021

Will matching funds be used for this project?

No

Upload copy of budget.

https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103281342/824268687/103281342_gan_p425f201444-20b.4.1.pdf

Upload copy of signed grant agreement.

https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103283630/824268687/103283630_gan_p425f201444-20b.4.1.pdf

Approvals

Approval Status

Approved

Approved By

Joyce Judy

Approval Date

June 16, 2021 7:39 AM

Comments

[Jun 16, 2021 7:39 AM] Joyce Judy APPROVED

[Jun 16, 2021 7:39 AM] Joyce Judy: These are federal dollars allocated to CCV as part of the COVID relief funds.



**US Department of Education
Washington, D.C. 20202**

P425F201444 - 20B

GRANT AWARD NOTIFICATION

1	RECIPIENT NAME Vermont State Colleges 660 Elm St Montpelier, VT 05602		2	AWARD INFORMATION PR/AWARD NUMBER P425F201444 - 20B ACTION NUMBER 4 ACTION TYPE Revision AWARD TYPE Formula																					
3	PROJECT STAFF RECIPIENT STATE DIRECTOR Ryan Dulude (802) 654-0515 ryan.dulude@ccv.edu EDUCATION PROGRAM CONTACT Everardo M Gil (202) 453-7712 everardo.gil@ed.gov EDUCATION PAYMENT HOTLINE G5 PAYEE HELPDESK 888-336-8930 edcaps.user@ed.gov		4	PROJECT DESCRIPTION 84.425F Institutional aid portion of the CARES Act																					
5	KEY PERSONNEL N/A																								
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**US Department of Education
Washington, D.C. 20202**

P425F201444 - 20B

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425F201444 - 20B
RECIPIENT NAME: Vermont State Colleges

TERMS AND CONDITIONS

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- (2) NEW ARP FUNDS: The grant funds awarded herein pursuant to section 2003 of the American Rescue Plan Act of 2021 (ARP) (Pub. L. 117-2) are governed by section 2003 of the ARP and section 314 of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) and the terms and conditions of the Supplemental Agreement attached to this GAN.

BY DRAWING DOWN THESE GRANT FUNDS, YOU AGREE TO BE BOUND BY THESE CONDITIONS SET FORTH ON THE BEHALF OF THE INSTITUTION YOU REPRESENT AND YOU WARRANT THAT YOU HAVE THE AUTHORITY TO BIND THE INSTITUTION TO THESE CONDITIONS.

ADMINISTRATIVE COSTS: Institutions may charge reasonable direct administrative costs to the supplemental funds made available under this award.

INDIRECT COSTS: Institutions may charge indirect costs to supplemental funds made available under this award consistent with its negotiated indirect cost rate agreement. If grantee does not have a current negotiated indirect cost rate with its cognizant agency for indirect costs, it may appropriately charge the de minimis rate of ten percent of Modified Total Direct Costs (MTDC) under 2 CFR 200.414.

3-DAY DRAWDOWN AND CASH MANAGEMENT REQUIREMENTS: Consistent with 2 CFR 200.305, grantee must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Grantees that draw down funds and do not pay the incurred obligation (liquidate) within 3 calendar days may be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's Office of the Inspector General (OIG). Grantees that return funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's OIG. Grantee must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500 (all Federal grants together) during an institution's fiscal year must be returned (remitted) to the Federal government via a process described here: <https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.

A handwritten signature in cursive script that reads "Michelle A. Cooper".

**Michelle A Cooper
Acting Assistant Secretary**

AUTHORIZING OFFICIAL

06/11/2021

DATE

FORM C: INFORMATION ABOUT THE AWARD

Principal Investigator/Project Initiator (PI) Laura Jakubowski

E-mail Address laura.jakubowski@castleton.edu

Will anybody besides the PI listed above manage the day-to-day grant activities? No

Institution Castleton University

I am: Staff

Title of Proposal ARP - HEERF III - Student

What type of funding is this? Federal

Name of Primary Funder U.S. Department of Education

Is this funding passed through from another source than the funder above? (Example: a state agency passing through federal funds.) No

CFDA Number 84.425E

Project Start Date 04/28/21

End Date 01/16/22

List how you'd like this grant titled on the general ledger (limit of 25 characters). HEERF III - Student

BUDGET

Total Project Cost 2697016

Direct Costs 2697016

Indirect Costs 0

Will matching funds be used for this project? No

Upload copy of budget. https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103281342/832146567/103281342_gan_p425e201471-20b.4.1.pdf

Upload copy of signed grant agreement. https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103283630/832146567/103283630_gan_p425e201471-20b.4.1.pdf

Approvals

Approval Status	Approved
Approved By	Jonathan Spiro
Approval Date	July 6, 2021 10:36 AM
Comments	[Jul 6, 2021 10:36 AM] Jonathan Spiro: A vital part of the American Rescue Plan. [Jul 6, 2021 10:36 AM] Jonathan Spiro APPROVED



**US Department of Education
Washington, D.C. 20202**

P425E201471 - 20B

GRANT AWARD NOTIFICATION

1	RECIPIENT NAME Castleton University 62 Alumni Drive Castleton, VT 05735		2	AWARD INFORMATION <table> <tr> <td>PR/AWARD NUMBER</td> <td>P425E201471 - 20B</td> </tr> <tr> <td>ACTION NUMBER</td> <td>4</td> </tr> <tr> <td>ACTION TYPE</td> <td>Revision</td> </tr> <tr> <td>AWARD TYPE</td> <td>Formula</td> </tr> </table>		PR/AWARD NUMBER	P425E201471 - 20B	ACTION NUMBER	4	ACTION TYPE	Revision	AWARD TYPE	Formula																											
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3	PROJECT STAFF RECIPIENT STATE DIRECTOR Laura Jakubowski (802) 468-6072 laura.jakubowski@castleton.edu EDUCATION PROGRAM CONTACT Carmen Gordon (202) 453-7311 carmen.gordon@ed.gov EDUCATION PAYMENT HOTLINE G5 PAYEE HELPDESK 888-336-8930 edcaps.user@ed.gov		4	PROJECT DESCRIPTION 84.425E Higher Education Emergency Relief Fund - Castleton University																																				
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**US Department of Education
Washington, D.C. 20202**

P425E201471 - 20B

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425E201471 - 20B

RECIPIENT NAME: Castleton University

TERMS AND CONDITIONS

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A handwritten signature in cursive script that reads "Michelle A. Cooper".

**Michelle A Cooper
Acting Assistant Secretary**

05/18/2021

AUTHORIZING OFFICIAL

DATE

FORM C: INFORMATION ABOUT THE AWARD

Principal Investigator/Project Initiator (PI) Laura Jakubowski

E-mail Address laura.jakubowski@castleton.edu

Will anybody besides the PI listed above manage the day-to-day grant activities? No

Institution Castleton University

I am: Staff

Title of Proposal ARP - HEERF III - Institutional

What type of funding is this? Federal

Name of Primary Funder U.S. Department of Education

Is this funding passed through from another source than the funder above? (Example: a state agency passing through federal funds.) No

CFDA Number 84.425F

Project Start Date 04/28/21

End Date 01/16/22

List how you'd like this grant titled on the general ledger (limit of 25 characters). HEERF III - Institutional

BUDGET

Total Project Cost 2686625

Direct Costs 2686625

Indirect Costs 0

Will matching funds be used for this project? No

Upload copy of budget. https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103281342/832144850/103281342_gan_p425f200540-20b.4.1.pdf

Upload copy of signed grant agreement. https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103283630/832144850/103283630_gan_p425f200540-20b.4.1.pdf

Approvals

Approval Status	Approved
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Approved By	Jonathan Spiro
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Approval Date	July 6, 2021 10:35 AM
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Comments	[Jul 6, 2021 10:30 AM] Betsy Ward: Edited to include CFDA number. [Jul 6, 2021 10:35 AM] Jonathan Spiro APPROVED [Jul 6, 2021 10:35 AM] Jonathan Spiro: A vital part of the American Rescue Plan.
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**US Department of Education
Washington, D.C. 20202**

P425F200540 - 20B

GRANT AWARD NOTIFICATION

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9	LEGISLATIVE AND FISCAL DATA <table> <tr> <td>AUTHORITY:</td> <td colspan="4">PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT</td> </tr> <tr> <td>PROGRAM TITLE:</td> <td colspan="4">EDUCATION STABILIZATION FUND</td> </tr> <tr> <td>CFDA/SUBPROGRAM NO:</td> <td colspan="4">84.425F</td> </tr> </table> <table> <tr> <th>FUND CODE</th> <th>FUNDING YEAR</th> <th>AWARD YEAR</th> <th>ORG. CODE</th> <th>CATEGORY</th> <th>LIMITATION</th> <th>ACTIVITY</th> <th>CFDA</th> <th>OBJECT CLASS</th> <th>AMOUNT</th> </tr> <tr> <td>0251N</td> <td>2021</td> <td>2021</td> <td>EP000000</td> <td>B</td> <td>DRA</td> <td>STT</td> <td>425</td> <td>4101C</td> <td>\$2,686,625.00</td> </tr> </table>					AUTHORITY:	PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT				PROGRAM TITLE:	EDUCATION STABILIZATION FUND				CFDA/SUBPROGRAM NO:	84.425F				FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT	0251N	2021	2021	EP000000	B	DRA	STT	425	4101C	\$2,686,625.00
AUTHORITY:	PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT																																							
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FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT																															
0251N	2021	2021	EP000000	B	DRA	STT	425	4101C	\$2,686,625.00																															



**US Department of Education
Washington, D.C. 20202**

P425F200540 - 20B

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425F200540 - 20B

RECIPIENT NAME: Castleton University

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) NEW ARP FUNDS: The grant funds awarded herein pursuant to section 2003 of the American Rescue Plan Act of 2021 (ARP) (Pub. L. 117-2) are governed by section 2003 of the ARP and section 314 of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) and the terms and conditions of the Supplemental Agreement attached to this GAN.

BY DRAWING DOWN THESE GRANT FUNDS, YOU AGREE TO BE BOUND BY THESE CONDITIONS SET FORTH ON THE BEHALF OF THE INSTITUTION YOU REPRESENT AND YOU WARRANT THAT YOU HAVE THE AUTHORITY TO BIND THE INSTITUTION TO THESE CONDITIONS.

ADMINISTRATIVE COSTS: Institutions may charge reasonable direct administrative costs to the supplemental funds made available under this award.

INDIRECT COSTS: Institutions may charge indirect costs to supplemental funds made available under this award consistent with its negotiated indirect cost rate agreement. If grantee does not have a current negotiated indirect cost rate with its cognizant agency for indirect costs, it may appropriately charge the de minimis rate of ten percent of Modified Total Direct Costs (MTDC) under 2 CFR 200.414.

3-DAY DRAWDOWN AND CASH MANAGEMENT REQUIREMENTS: Consistent with 2 CFR 200.305, grantee must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Grantees that draw down funds and do not pay the incurred obligation (liquidate) within 3 calendar days may be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's Office of the Inspector General (OIG). Grantees that return funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's OIG. Grantee must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500 (all Federal grants together) during an institution's fiscal year must be returned (remitted) to the Federal government via a process described here: <https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.

A handwritten signature in cursive script that reads "Michelle A. Cooper".

**Michelle A Cooper
Acting Assistant Secretary**

05/18/2021

AUTHORIZING OFFICIAL

DATE

FORM C: INFORMATION ABOUT THE AWARD

Principal Investigator/Project Initiator (PI) Tanya Bradley

E-mail Address tanya.bradley@northernvermont.edu

Will anybody besides the PI listed above manage the day-to-day grant activities? Yes

Name of the grant manager (if different from PI) toby stewart

Email of grant manager (if different from PI) toby.stewart@northernvermont.edu

Institution Northern Vermont University

I am: Staff

Title of Proposal CARES Higher Ed Relief Funds for Students

What type of funding is this? Federal

Name of Primary Funder Department of Education

Is this funding passed through from another source than the funder above? (Example: a state agency passing through federal funds.) No

CFDA Number 84.425E

Project Start Date 07/21/21

End Date 03/19/22

List how you'd like this grant titled on the general ledger (limit of 25 characters). HEERF III - Students

Which IPEDS category best describes the main focus of this grant? 5 - Student Services (activities such as admissions; counseling; financial aid administration; student records; athletics, health services; career guidance)

BUDGET

Total Project Cost 3156190

Direct Costs	3156190
Indirect Costs	0
Will matching funds be used for this project?	No
Upload copy of budget.	https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103281342/838156724/103281342_heerf_iii_-_student_budget.xlsx
Upload copy of signed grant agreement.	https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103283630/838156724/103283630_gan_p425e203859-20b.4.1_heerf_iii_student_action_4.pdf
Approvals	
Approval Status	Approved
Approved By	Elaine Collins
Approval Date	July 21, 2021 6:47 PM
Comments	[Jul 21, 2021 6:47 PM] Elaine Collins APPROVED

1	RECIPIENT NAME				2	AWARD INFORMATION				
	Vermont State Colleges DBA Northern Vermont University 337 College Hill Johnson, VT 05656					PR/AWARD NUMBER		P425E203859 - 20B		
						ACTION NUMBER		4		
						ACTION TYPE		Revision		
						AWARD TYPE		Formula		
3	PROJECT STAFF				4	PROJECT DESCRIPTION				
	RECIPIENT STATE DIRECTOR Tanya Bradley (802) 626-6216 tanya.bradley@northernvermont.edu					84.425E				
	EDUCATION PROGRAM CONTACT Shakir Davy (202) 453-7792 shakir.davy@ed.gov					CARES Higher Ed Relief Fund for Students				
	EDUCATION PAYMENT HOTLINE G5 PAYEE HELPDESK 888-336-8930 edcaps.user@ed.gov									
5	KEY PERSONNEL									
	N/A									
6	AWARD PERIODS									
	BUDGET PERIOD		05/05/2020 - 03/19/2022							
	FEDERAL FUNDING PERIOD		05/05/2020 - 03/19/2022							
	FUTURE BUDGET PERIODS									
	N/A									
7	AUTHORIZED FUNDING									
	CURRENT AWARD AMOUNT		\$3,156,190.00							
	PREVIOUS CUMULATIVE AMOUNT		\$1,932,342.00							
	CUMULATIVE AMOUNT		\$5,088,532.00							
8	ADMINISTRATIVE INFORMATION									
	DUNS/SSN		081256604							
	REGULATIONS		EDGAR AS APPLICABLE							
			2 CFR AS APPLICABLE							
	ATTACHMENTS		N/A							
9	LEGISLATIVE AND FISCAL DATA									
	AUTHORITY:		PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT							
	PROGRAM TITLE:		EDUCATION STABILIZATION FUND							
	CFDA/SUBPROGRAM NO:		84.425E							
	FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT
	0251N	2021	2021	EP000000	B	DRA	000	425	4101C	\$3,156,190.00



**US Department of Education
Washington, D.C. 20202**

P425E203859 - 20B

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425E203859 - 20B
RECIPIENT NAME: Vermont State Colleges DBA Northern Vermont University

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) NEW ARP FUNDS: The grant funds awarded herein pursuant to section 2003 of the American Rescue Plan Act of 2021 (ARP) (Pub. L. 117-2) are governed by section 2003 of the ARP and section 314 of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) and the terms and conditions of the Supplemental Agreement attached to this GAN.

BY DRAWING DOWN THESE GRANT FUNDS, YOU AGREE TO BE BOUND BY THESE CONDITIONS SET FORTH ON THE BEHALF OF THE INSTITUTION YOU REPRESENT AND YOU WARRANT THAT YOU HAVE THE AUTHORITY TO BIND THE INSTITUTION TO THESE CONDITIONS.

ADMINISTRATIVE COSTS: Institutions are NOT allowed to charge any administrative costs to any funds under this grant because the allocation in this grant award represents the minimum amount of funds that must be distributed to students.

INDIRECT COSTS: Institutions are NOT allowed to charge any indirect costs to any funds under this grant because the allocation in this grant award represents the minimum amount of funds that must be distributed to students.

15-DAY DRAWDOWN AND CASH MANAGEMENT REQUIREMENTS: Consistent with 2 CFR 200.305, grantee must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Grantees that draw down funds and do not pay the incurred obligation (liquidate) within 15 calendar days may be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's Office of the Inspector General (OIG). Grantees that return funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's OIG. Grantee must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500 (all Federal grants together) during an institution's fiscal year must be returned (remitted) to the Federal government via a process described here: <https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.

A handwritten signature in cursive script, reading "Michelle A. Cooper".

**Michelle A Cooper
Acting Assistant Secretary**

07/21/2021

AUTHORIZING OFFICIAL

DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

- 1. RECIPIENT NAME** - The legal name of the recipient or name of the primary organizational unit that was identified in the application, state plan or other documents required to be submitted for funding by the grant program.
- 2. AWARD INFORMATION** - Unique items of information that identify this notification.
 - PR/AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number." The PR/Award Number is also known as the Federal Award Identifying Number, or FAIN.
 - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"
 - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
 - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK. If this award was made under a Research and Development grant program, the terms RESEARCH AND DEVELOPMENT will appear under DISCRETIONARY, FORMULA OR BLOCK.
- 3. PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
 - *RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
 - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.
 - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.
- 4. PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
- 5.* KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devotes to the project.
- 6. AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
 - BUDGET PERIOD** - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
 - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
 - *FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.
- 7. AUTHORIZED FUNDING** - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.
 - *THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
 - *BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
 - *PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
 - RECIPIENT COST SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
 - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.
- 8. ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN

A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS** - Title 2 of the Code of Federal Regulations(CFR), Part 200 as adopted at 2 CFR 3474; the applicable parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the CFR that govern the award and administration of this grant.

***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS -

The fiscal information recorded by the U.S. Department of Education's Grants Management System (G5) to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***PARTICIPANT NUMBER** - The number of eligible participants the grantee is required to serve during the budget year.

***GRANTEE NAME** - The entity name and address registered in the System for Award Management (SAM). This name and address is tied to the DUNS number registered in SAM under the name and address appearing in this field. This name, address and the associated DUNS is what is displayed in the SAM Public Search.

***PROGRAM INDIRECT COST TYPE** - The type of indirect cost permitted under the program (i.e. Restricted, Unrestricted, or Training).

***PROJECT INDIRECT COST RATE** - The indirect cost rate applicable to this grant.

***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:

(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.

FORM C: INFORMATION ABOUT THE AWARD

Principal Investigator/Project Initiator (PI) Toby Stewart

E-mail Address toby.stewart@northernvermont.edu

Will anybody besides the PI listed above manage the day-to-day grant activities? Yes

Name of the grant manager (if different from PI) Dannielle Spring

Email of grant manager (if different from PI) Dannielle.Spring@northernvermont.edu

Institution Northern Vermont University

I am: Staff

Title of Proposal CARES Higher Ed Emergency Relief for Institutions

What type of funding is this? Federal

Name of Primary Funder Toby Stewart

Is this funding passed through from another source than the funder above? (Example: a state agency passing through federal funds.) No

CFDA Number 84.425F

Project Start Date 06/14/21

End Date 06/10/22

List how you'd like this grant titled on the general ledger (limit of 25 characters). HEERF III - Institutional

Which IPEDS category best describes the main focus of this grant? 6 - Institutional Support (expenses for day-to-day operational support of the institution, such as legal and fiscal operations; administrative computing support; public relations)

BUDGET

Total Project Cost 2939006

Direct Costs

2939006

Indirect Costs

0

Will matching funds be used for this project?

No

Upload copy of budget.

https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103281342/834461952/103281342_heerf_institutional_awards_-_nvu.xlsx

Upload copy of signed grant agreement.

https://s3.amazonaws.com/files.formstack.com/uploads/4182355/103283630/834461952/103283630_gan_p425f202363.5.1_-_heerf_iii_institutional.pdf

Approvals

Approval Status

Approved

Approved By

Elaine Collins

Approval Date

July 12, 2021 12:36 PM

Comments

[Jul 12, 2021 12:36 PM] Elaine Collins APPROVED

1	RECIPIENT NAME				2	AWARD INFORMATION				
	Vermont State Colleges DBA Northern Vermont University 337 College Hill Johnson, VT 05656					PR/AWARD NUMBER		P425F202363 - 20B		
						ACTION NUMBER		4		
						ACTION TYPE		Revision		
						AWARD TYPE		Formula		
3	PROJECT STAFF				4	PROJECT DESCRIPTION				
	RECIPIENT STATE DIRECTOR Toby Stewart (802) 635-1211 toby.stewart@northernvermont.edu					84.425F				
	EDUCATION PROGRAM CONTACT Tara Lawrence (202) 260-1475 Tara.Lawrence@ed.gov					CARES Higher Ed Emergency Relief for Institutions				
	EDUCATION PAYMENT HOTLINE G5 PAYEE HELPDESK 888-336-8930 edcaps.user@ed.gov									
5	KEY PERSONNEL									
	N/A									
6	AWARD PERIODS									
	BUDGET PERIOD		05/07/2020 - 03/20/2022							
	FEDERAL FUNDING PERIOD		05/07/2020 - 03/20/2022							
	FUTURE BUDGET PERIODS									
	N/A									
7	AUTHORIZED FUNDING									
	CURRENT AWARD AMOUNT		\$2,939,006.00							
	PREVIOUS CUMULATIVE AMOUNT		\$3,530,181.00							
	CUMULATIVE AMOUNT		\$6,469,187.00							
8	ADMINISTRATIVE INFORMATION									
	DUNS/SSN	081256604								
	REGULATIONS	EDGAR AS APPLICABLE								
		2 CFR AS APPLICABLE								
	ATTACHMENTS	N/A								
9	LEGISLATIVE AND FISCAL DATA									
	AUTHORITY:		PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT							
	PROGRAM TITLE:		EDUCATION STABILIZATION FUND							
	CFDA/SUBPROGRAM NO:		84.425F							
	FUND CODE	FUNDING YEAR	AWARD YEAR	ORG. CODE	CATEGORY	LIMITATION	ACTIVITY	CFDA	OBJECT CLASS	AMOUNT
	0251N	2021	2021	EP000000	B	DRA	STT	425	4101C	\$2,939,006.00



**US Department of Education
Washington, D.C. 20202**

P425F202363 - 20B

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425F202363 - 20B
RECIPIENT NAME: Vermont State Colleges DBA Northern Vermont University

TERMS AND CONDITIONS

- (1) THIS ACTION IS TO INCREASE THE GRANT AWARD IN THE AMOUNT SHOWN IN BLOCK 7.
- (2) NEW ARP FUNDS: The grant funds awarded herein pursuant to section 2003 of the American Rescue Plan Act of 2021 (ARP) (Pub. L. 117-2) are governed by section 2003 of the ARP and section 314 of the Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (CRRSAA) (Pub. L. 116-260) and the terms and conditions of the Supplemental Agreement attached to this GAN.

BY DRAWING DOWN THESE GRANT FUNDS, YOU AGREE TO BE BOUND BY THESE CONDITIONS SET FORTH ON THE BEHALF OF THE INSTITUTION YOU REPRESENT AND YOU WARRANT THAT YOU HAVE THE AUTHORITY TO BIND THE INSTITUTION TO THESE CONDITIONS.

ADMINISTRATIVE COSTS: Institutions may charge reasonable direct administrative costs to the supplemental funds made available under this award.

INDIRECT COSTS: Institutions may charge indirect costs to supplemental funds made available under this award consistent with its negotiated indirect cost rate agreement. If grantee does not have a current negotiated indirect cost rate with its cognizant agency for indirect costs, it may appropriately charge the de minimis rate of ten percent of Modified Total Direct Costs (MTDC) under 2 CFR 200.414.

3-DAY DRAWDOWN AND CASH MANAGEMENT REQUIREMENTS: Consistent with 2 CFR 200.305, grantee must minimize the time between drawing down funds from G5 and paying incurred obligations (liquidation). Grantees that draw down funds and do not pay the incurred obligation (liquidate) within 3 calendar days may be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's Office of the Inspector General (OIG). Grantees that return funds pursuant to mistakes in drawing down excessive grant funds in advance of need may also be subject to heightened scrutiny by the Department, Grantee's auditors, and/or the Department's OIG. Grantee must maintain drawn down grant funds in an interest-bearing account, and any interest earned on all Federal grant funds above \$500 (all Federal grants together) during an institution's fiscal year must be returned (remitted) to the Federal government via a process described here: <https://www2.ed.gov/documents/funding-101/g5-returning-interest.pdf>.

A handwritten signature in cursive script that reads "Michelle A. Cooper".

**Michelle A Cooper
Acting Assistant Secretary**

06/11/2021

AUTHORIZING OFFICIAL

DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

- 1. RECIPIENT NAME** - The legal name of the recipient or name of the primary organizational unit that was identified in the application, state plan or other documents required to be submitted for funding by the grant program.
- 2. AWARD INFORMATION** - Unique items of information that identify this notification.
 - PR/AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number." The PR/Award Number is also known as the Federal Award Identifying Number, or FAIN.
 - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"
 - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
 - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK. If this award was made under a Research and Development grant program, the terms RESEARCH AND DEVELOPMENT will appear under DISCRETIONARY, FORMULA OR BLOCK.
- 3. PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
 - *RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
 - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.
 - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.
- 4. PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
- 5.* KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devotes to the project.
- 6. AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
 - BUDGET PERIOD** - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
 - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
 - *FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.
- 7. AUTHORIZED FUNDING** - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.
 - *THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
 - *BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
 - *PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
 - RECIPIENT COST SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
 - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.
- 8. ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN

A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS** - Title 2 of the Code of Federal Regulations(CFR), Part 200 as adopted at 2 CFR 3474; the applicable parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the CFR that govern the award and administration of this grant.

***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS -

The fiscal information recorded by the U.S. Department of Education's Grants Management System (G5) to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***PARTICIPANT NUMBER** - The number of eligible participants the grantee is required to serve during the budget year.

***GRANTEE NAME** - The entity name and address registered in the System for Award Management (SAM). This name and address is tied to the DUNS number registered in SAM under the name and address appearing in this field. This name, address and the associated DUNS is what is displayed in the SAM Public Search.

***PROGRAM INDIRECT COST TYPE** - The type of indirect cost permitted under the program (i.e. Restricted, Unrestricted, or Training).

***PROJECT INDIRECT COST RATE** - The indirect cost rate applicable to this grant.

***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:

(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.

FORM C

- NEW FUNDING SOURCE DOCUMENT - GRANTS ONLY

Vermont Technical College
(College Name)

Submit to Chancellor's Office for all activities based upon a new funding source.
Place copy in front of any applicable master file.

1) Name of grant:
PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT PF

2) Granting agency/donor/other funding source: (attach support info) US Dept of Edu

2a) CFDA # 84.425E 2b) State Revenue Code: _____
2c) Award # P425E20205

3) Purpose of activity: Higher Education Emergency Relief Funding - emergency aid for stuc

4a) <u>Proper accounting fund:</u> Entity Gasb Code <u>_____</u> Activity Code <u>_____</u>	4b) Funding Source Federal <u>X</u> State <u>_____</u> Other: (Name) <u>_____</u>
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5) Related Grants and their funding sources:
All HEERF

6a) <u>Beginning date:</u> <u>April 24, 2020</u>	6b) <u>Ending date:</u> <u>January 19, 2022</u>	6c) <u>Duration:</u> <u>_____</u>
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7) Reporting requirements (format/to whom/frequency/other)
quarterly to US DOE

8a) <u>Funding amount:</u> <u>1,654,021</u>	8b) One-time <u>X</u> <u>Ongoing funding</u> (indicate timeframe:) <u>_____</u> <u>_____</u>
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Board Approval Required Y or N

11) <u>President:</u> <u>Patricia Moulton</u>	12) <u>Date to Ch's Ofc:</u> <u>_____</u>	13) <u>Date Board Approved:</u> <u>_____</u>
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**US Department of Education
Washington, D.C. 20202**

P425E202055

GRANT AWARD NOTIFICATION

1	RECIPIENT NAME Vermont Technical College PO Box 500 Randolph Center, VT 05061	2	AWARD INFORMATION PR/AWARD NUMBER P425E202055 ACTION NUMBER 5 ACTION TYPE Administrative AWARD TYPE Formula
3	PROJECT STAFF RECIPIENT STATE DIRECTOR Catherine McCullough (802) 728-1247 crm07160@vtc.vsc.edu EDUCATION PROGRAM CONTACT Pearson T Owens (202) 453-7997 pearson.owens@ed.gov EDUCATION PAYMENT HOTLINE G5 PAYEE HELPDESK 888-336-8930 edcaps.user@ed.gov	4	PROJECT DESCRIPTION 84.425E COVID-19 Emergency Aid
5	KEY PERSONNEL N/A		
6	AWARD PERIODS BUDGET PERIOD 04/24/2020 - 05/24/2022 FEDERAL FUNDING PERIOD 04/24/2020 - 05/24/2022 FUTURE BUDGET PERIODS N/A		
7	AUTHORIZED FUNDING CURRENT AWARD AMOUNT N/A PREVIOUS CUMULATIVE AMOUNT \$2,625,427.00 CUMULATIVE AMOUNT \$2,625,427.00		
8	ADMINISTRATIVE INFORMATION DUNS/SSN 807840608 REGULATIONS EDGAR AS APPLICABLE 2 CFR AS APPLICABLE ATTACHMENTS N/A		
9	LEGISLATIVE AND FISCAL DATA AUTHORITY: PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT PROGRAM TITLE: EDUCATION STABILIZATION FUND CFDA/SUBPROGRAM NO: 84.425E		



**US Department of Education
Washington, D.C. 20202**

P425E202055

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425E202055
RECIPIENT NAME: Vermont Technical College

TERMS AND CONDITIONS

- (1) THE BUDGET PERIOD AND PERFORMANCE PERIOD FOR THIS PROJECT ARE CHANGED TO THE DATES IN BLOCK 6. NO ADDITIONAL FUNDS ARE PROVIDED BY THIS ACTION.

A handwritten signature in cursive script that reads "Michelle A. Cooper".

**Michelle A Cooper
Acting Assistant Secretary**

AUTHORIZING OFFICIAL

06/02/2021

DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

- 1. RECIPIENT NAME** - The legal name of the recipient or name of the primary organizational unit that was identified in the application, state plan or other documents required to be submitted for funding by the grant program.
- 2. AWARD INFORMATION** - Unique items of information that identify this notification.
 - PR/AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number." The PR/Award Number is also known as the Federal Award Identifying Number, or FAIN.
 - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"
 - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
 - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK. If this award was made under a Research and Development grant program, the terms RESEARCH AND DEVELOPMENT will appear under DISCRETIONARY, FORMULA OR BLOCK.
- 3. PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
 - *RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
 - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.
 - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.
- 4. PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
- 5.* KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devotes to the project.
- 6. AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
 - BUDGET PERIOD** - A specific interval of time for which Federal funds are being provided from a particular fiscal year to fund a recipient's approved activities and budget. The start and end dates of the budget period are shown.
 - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
 - *FUTURE BUDGET PERIODS** - The estimated remaining budget periods for multi-year projects and estimated funds the Department proposes it will award the recipient provided substantial progress is made by the recipient in completing approved activities, the Department determines that continuing the project would be in the best interest of the Government, Congress appropriates sufficient funds under the program, and the recipient has submitted a performance report that provides the most current performance information and the status of budget expenditures.
- 7. AUTHORIZED FUNDING** - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.
 - *THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
 - *BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
 - *PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
 - RECIPIENT COST SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
 - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.
- 8. ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN

A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS** - Title 2 of the Code of Federal Regulations(CFR), Part 200 as adopted at 2 CFR 3474; the applicable parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the CFR that govern the award and administration of this grant.

***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS -

The fiscal information recorded by the U.S. Department of Education's Grants Management System (G5) to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***PARTICIPANT NUMBER** - The number of eligible participants the grantee is required to serve during the budget year.

***GRANTEE NAME** - The entity name and address registered in the System for Award Management (SAM). This name and address is tied to the DUNS number registered in SAM under the name and address appearing in this field. This name, address and the associated DUNS is what is displayed in the SAM Public Search.

***PROGRAM INDIRECT COST TYPE** - The type of indirect cost permitted under the program (i.e. Restricted, Unrestricted, or Training).

***PROJECT INDIRECT COST RATE** - The indirect cost rate applicable to this grant.

***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:

(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.

FORM C

- NEW FUNDING SOURCE DOCUMENT - GRANTS ONLY

Vermont Technical College
(College Name)

Submit to Chancellor's Office for all activities based upon a new funding source.
Place copy in front of any applicable master file.

1) Name of grant:
AUTHORITY:PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMICSECU

2) Granting agency/donor/other funding source: (attach support info) US Dept of Edu

2a) CFDA # 84.425F 2b) State Revenue Code:
2c) Award # P425F20144

3) Purpose of activity: Higher Education Emergency Relief Funding to support the institution

4a) <u>Proper accounting fund:</u> Entity Gasb Code <u> </u> Activity Code <u> </u>	4b) Funding Source Federal <u>X</u> State <u> </u> Other: (Name) <u> </u>
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5) Related Grants and their funding sources:
All HEERF

6a) <u>Beginning date:</u> <u>May 6, 2020</u>	6b) <u>Ending date:</u> <u>January 19, 2022</u>	6c) <u>Duration:</u> <u> </u>
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7) Reporting requirements (format/to whom/frequency/other)
quarterly to US DOE

8a) <u>Funding amount:</u> <u>1,624,414</u>	8b) One-time <u>X</u> <u>Ongoing funding</u> (indicate timeframe:) <u> </u> <u> </u>
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Board Approval Required <u>Y or N</u>	11) <u>President:</u> <u>Patricia Moulton</u>	12) <u>Date to Ch's Ofc:</u> <u> </u>	13) <u>Date Board Approved:</u> <u> </u>
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1	RECIPIENT NAME Vermont Technical College PO Box 500 Randolph Center, VT 05061		2	AWARD INFORMATION <div> <div>PR/AWARD NUMBER</div> <div>P425F201445</div> </div> <div> <div>ACTION NUMBER</div> <div>5</div> </div> <div> <div>ACTION TYPE</div> <div>Administrative</div> </div> <div> <div>AWARD TYPE</div> <div>Formula</div> </div>	
	PROJECT STAFF RECIPIENT STATE DIRECTOR David Rubin (802) 595-9185 david.rubin@vsc.edu EDUCATION PROGRAM CONTACT Everardo M Gil (202) 453-7712 everardo.gil@ed.gov EDUCATION PAYMENT HOTLINE G5 PAYEE HELPDESK 888-336-8930 edcaps.user@ed.gov			PROJECT DESCRIPTION 84.425F Higher Education Emergency Relief Funding to support the institution in addressing costs associated with measures taken in response to the 2020 COVID-19 pandemic.	
5	KEY PERSONNEL N/A				
6	AWARD PERIODS <div> <div>BUDGET PERIOD</div> <div>05/06/2020 - 05/24/2022</div> </div> <div> <div>FEDERAL FUNDING PERIOD</div> <div>05/06/2020 - 05/24/2022</div> </div> <div> <div>FUTURE BUDGET PERIODS</div> <div>N/A</div> </div>				
7	AUTHORIZED FUNDING <div> <div>CURRENT AWARD AMOUNT</div> <div>N/A</div> </div> <div> <div>PREVIOUS CUMULATIVE AMOUNT</div> <div>\$3,437,923.00</div> </div> <div> <div>CUMULATIVE AMOUNT</div> <div>\$3,437,923.00</div> </div>				
8	ADMINISTRATIVE INFORMATION <div> <div>DUNS/SSN</div> <div>807840608</div> </div> <div> <div>REGULATIONS</div> <div>EDGAR AS APPLICABLE</div> </div> <div> <div>ATTACHMENTS</div> <div>2 CFR AS APPLICABLE</div> </div> <div> <div></div> <div>N/A</div> </div>				
9	LEGISLATIVE AND FISCAL DATA <div> <div>AUTHORITY:</div> <div>PL 116-136 TITLE VIII CORONAVIRUS AID, RELIEF, AND ECONOMIC SECURITY ACT</div> </div> <div> <div>PROGRAM TITLE:</div> <div>EDUCATION STABILIZATION FUND</div> </div> <div> <div>CFDA/SUBPROGRAM NO:</div> <div>84.425F</div> </div>				



**US Department of Education
Washington, D.C. 20202**

P425F201445

GRANT AWARD NOTIFICATION

10

PR/AWARD NUMBER: P425F201445
RECIPIENT NAME: Vermont Technical College

TERMS AND CONDITIONS

- (1) THE BUDGET PERIOD AND PERFORMANCE PERIOD FOR THIS PROJECT ARE CHANGED TO THE DATES IN BLOCK 6. NO ADDITIONAL FUNDS ARE PROVIDED BY THIS ACTION.

A handwritten signature in cursive script that reads "Michelle A. Cooper".

**Michelle A Cooper
Acting Assistant Secretary**

AUTHORIZING OFFICIAL

06/07/2021

DATE

EXPLANATION OF BLOCKS ON THE GRANT AWARD NOTIFICATION

For Discretionary, Formula and Block Grants (See Block 2 of the Notification)

- 1. RECIPIENT NAME** - The legal name of the recipient or name of the primary organizational unit that was identified in the application, state plan or other documents required to be submitted for funding by the grant program.
- 2. AWARD INFORMATION** - Unique items of information that identify this notification.
 - PR/AWARD NUMBER** - A unique, identifying number assigned by the Department to each application. On funded applications, this is commonly known as the "grant number" or "document number." The PR/Award Number is also known as the Federal Award Identifying Number, or FAIN.
 - ACTION NUMBER** - A numeral that represents the cumulative number of steps taken by the Department to date to establish or modify the award through fiscal or administrative means. Action number "01" will always be "NEW AWARD"
 - ACTION TYPE** - The nature of this notification (e.g., NEW AWARD, CONTINUATION, REVISION, ADMINISTRATIVE)
 - AWARD TYPE** - The particular assistance category in which funding for this award is provided, i.e., DISCRETIONARY, FORMULA, or BLOCK. If this award was made under a Research and Development grant program, the terms RESEARCH AND DEVELOPMENT will appear under DISCRETIONARY, FORMULA OR BLOCK.
- 3. PROJECT STAFF** - This block contains the names and telephone numbers of the U.S. Department of Education and recipient staff who are responsible for project direction and oversight.
 - *RECIPIENT PROJECT DIRECTOR** - The recipient staff person responsible for administering the project. This person represents the recipient to the U.S. Department of Education.
 - EDUCATION PROGRAM CONTACT** - The U.S. Department of Education staff person responsible for the programmatic, administrative and business management concerns of the Department.
 - EDUCATION PAYMENT CONTACT** - The U.S. Department of Education staff person responsible for payments or questions concerning electronic drawdown and financial expenditure reporting.
- 4. PROJECT TITLE AND CFDA NUMBER** - Identifies the Catalog of Federal Domestic Assistance (CFDA) subprogram title and the associated subprogram number.
- 5.* KEY PERSONNEL** - Name, title and percentage (%) of effort the key personnel identified devotes to the project.
- 6. AWARD PERIODS** - Project activities and funding are approved with respect to three different time periods, described below:
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 - PERFORMANCE PERIOD** - The complete length of time the recipient is proposed to be funded to complete approved activities. A performance period may contain one or more budget periods.
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- 7. AUTHORIZED FUNDING** - The dollar figures in this block refer to the Federal funds provided to a recipient during the award periods.
 - *THIS ACTION** - The amount of funds obligated (added) or de-obligated (subtracted) by this notification.
 - *BUDGET PERIOD** - The total amount of funds available for use by the grantee during the stated budget period to this date.
 - *PERFORMANCE PERIOD** - The amount of funds obligated from the start date of the first budget period to this date.
 - RECIPIENT COST SHARE** - The funds, expressed as a percentage, that the recipient is required to contribute to the project, as defined by the program legislation or regulations and/or terms and conditions of the award.
 - RECIPIENT NON-FEDERAL AMOUNT** - The amount of non-federal funds the recipient must contribute to the project as identified in the recipient's application. When non-federal funds are identified by the recipient where a cost share is not a legislation requirement, the recipient will be required to provide the non-federal funds.
- 8. ADMINISTRATIVE INFORMATION** - This information is provided to assist the recipient in completing the approved activities and managing the project in accordance with U.S. Department of Education procedures and regulations.

DUNS/SSN

A unique, identifying number assigned to each recipient for payment purposes. The number is based on either the recipient's assigned number from Dun and Bradstreet or the individual's social security number.

***REGULATIONS** - Title 2 of the Code of Federal Regulations(CFR), Part 200 as adopted at 2 CFR 3474; the applicable parts of the Education Department General Administrative Regulations (EDGAR), specific program regulations (if any), and other titles of the CFR that govern the award and administration of this grant.

***ATTACHMENTS** - Additional sections of the Grant Award Notification that discuss payment and reporting requirements, explain Department procedures, and add special terms and conditions in addition to those established, and shown as clauses, in Block 10 of the award. Any attachments provided with a notification continue in effect through the project period until modified or rescinded by the Authorizing Official.

9. LEGISLATIVE AND FISCAL DATA - The name of the authorizing legislation for this grant, the CFDA title of the program through which funding is provided, and U.S. Department of Education fiscal information.

FUND CODE, FUNDING YEAR, AWARD YEAR, ORG.CODE, PROJECT CODE, OBJECT CLASS -

The fiscal information recorded by the U.S. Department of Education's Grants Management System (G5) to track obligations by award.

AMOUNT - The amount of funds provided from a particular appropriation and project code. Some notifications authorize more than one amount from separate appropriations and/or project codes. The total of all amounts in this block equals the amount shown on the line, "THIS ACTION" (See "AUTHORIZED FUNDING" above (Block 7)).

10. TERMS AND CONDITIONS - Requirements of the award that are binding on the recipient.

***PARTICIPANT NUMBER** - The number of eligible participants the grantee is required to serve during the budget year.

***GRANTEE NAME** - The entity name and address registered in the System for Award Management (SAM). This name and address is tied to the DUNS number registered in SAM under the name and address appearing in this field. This name, address and the associated DUNS is what is displayed in the SAM Public Search.

***PROGRAM INDIRECT COST TYPE** - The type of indirect cost permitted under the program (i.e. Restricted, Unrestricted, or Training).

***PROJECT INDIRECT COST RATE** - The indirect cost rate applicable to this grant.

***AUTHORIZING OFFICIAL** - The U.S. Department of Education official authorized to award Federal funds to the recipient, establish or change the terms and conditions of the award, and authorize modifications to the award

FOR FORMULA AND BLOCK GRANTS ONLY:

(See also Blocks 1, 2, 4, 6, 8, 9 and 10 above)

3. PROJECT STAFF - The U.S. Department of Education staff persons to be contacted for programmatic and payment questions.

7. AUTHORIZED FUNDING

CURRENT AWARD AMOUNT - The amount of funds that are obligated (added) or de-obligated (subtracted) by this action.

PREVIOUS CUMULATIVE AMOUNT - The total amount of funds awarded under the grant before this action.

CUMULATIVE AMOUNT - The total amount of funds awarded under the grant, this action included.

* This item differs or does not appear on formula and block grants.